## त्रिपुरा विश्वविद्यालय TRIPURA UNIVERSITY

(केन्द्रीय विश्वविद्यालय / A Central University) सूर्यमणिनगर, अगरतला / Suryamaninagar, Agartala त्रिपुरा(प.) /Tripura (W.), पिन / PIN - 799022, भारत / INDIA दूरभाष / Phone : (0381) 237 4801

ई-मेल / E-Mail: registrar@tripurauniv.ac.in वेबसाइट / Website : www.tripurauniv.ac.in

#### **CERTIFICATE**

- 3.4 Research Publications and Awards
- 3.4.1 The institution ensures implementation of its stated Code of Ethics for research
- 3.4.1.1 The institution has a stated Code of Ethics for research and the implementation of which is ensured through the following
- 1. Inclusion of research ethics in the research methodology course work
- 2. Presence of institutional Ethics committees (Animal, chemical, bio ethics etc)
- 3. Plagiarism check
- 4. Research Advisory Committee

The relevant and supporting documents for the above mentioned criteria are attached in annexures.

Registrar

(Dr. Deepak Sharma) Registrar

Tripura University

#### No: 25/78/2012-AWD

#### Government of India

Ministry of Fisheries, Animal Husbandry and Dairying
Department of Animal Husbandry and Dairying
O/o Committee for the purpose of Control and Supervision of Experiments on Animals

(CPCSEA)

Delhi Milk Scheme Complex, Shadipur, Delhi – 110008 Date: 31.05.2022

To

Prof. Badal K Datta, Chairperson, IAEC Faculty of Science, Tripura University, Suryamaninagar, Agartala, Tripura - 799130

Mobile: 9863223319

E-mail: bkdattabot@tripurauniv.in

Subject: Revision of Institutional Animals Ethics Committee (IAEC) - regarding

Sir,

Kindly refer to your application on the above subject. CPCSEA hereby accords approval to your request for revision of IAEC.

2. Accordingly, the revised IAEC is as under:

S.No.	Name of IAEC Members	Designation in IAEC
1	Prof. Badal K Datta	Biological Scientist, Chairperson
2	Dr. Shiv Shankar Singh	Scientist In-charge of Animal House Facility, Member Secretary
3	Prof. Debasish Maiti	Scientist from different biological discipline
4	Dr. Ashwini Chauhan	Scientist from different biological discipline
5	Dr. Saumen Kanti Pal	Veterinarian
6	Dr. Gunjan Das	Main Nominee
7	Dr. P. Chakravarty	Link Nominee
8	Dr. Pritam Mohan	Scientist from outside the Institute
9	Mr. Suresh Chandra Pathak	Socially Aware Nominee

3. It is stated that only above approved IAEC members shall sign, with date, on the attendance sheet of the IAEC meetings, and decisions will be taken only in meetings where quorum is complete. The quorum for holding IAEC meeting is six (6), and Main Nominee, Scientist from outside of the Institute and Socially aware must be present in such meetings. Link Nominee can attend in case main nominee conveys his unavailability in writing to the chairman IAEC. Any decision taken in the meetings of IAEC without quorum shall be considered invalid.

Yours Sincerely,

(Dr. S. K. Dutta) Member Secretary (CPCSEA)

Copy for information to Nominees of CPCSEA:

1 Dr. Gunjan Das, Main Nominee 2 Dr. P. Chakravarty, Link Nominee

3 Dr. Pritam Mohan, Scientist from outside the Institute

4 Mr. Suresh Chandra Pathak, Socially Aware Nominee

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त्रिप्रा(प.) /Tripura (W), पिन/PIN - 799022, भारत/ INDIA

No. TU/REG/Fmn.Committees/8/2021



E-Mail: registrar@tripurauniv.ac.in

वेबसाइट / Website: www.tripurauniv.ac.in

Date: 07-12-2022

# NOTIFICATION

This is for information to all concerned that the Hon'ble Vice-Chancellor of Tripura University has been pleased to constitute "Chemical Safety & Disposal Committee" to regulate the chemical waste for proper disposal, with the following members:-

- Chairman Head, Department of Chemistry, 101 Tripura University. - Member 2. Prof. Samir Kumar Sil, Dept.of Human Physiology, Tripura University. - Member 3. Prof. Swapan Majumdar, Dept. of Chemistry, Tripura University. - Member 4. Prof. Debasish Maiti, Dept. of Human Physiology, Tripura University. - Member 5. Prof. Syed Arshad Hussain, Dept. of Physics, Tripura University. - Member 6. Dr. Kuntal Manna, Associate Professor, Dept.of Pharmacy, Tripura University. - Member 7. Dr. Surajit Bhattacharjee, Assistant Professor, Dept. of MB&BI, Tripura University. Dr. Pratap Chandra Acharya, - Member Assistant Professor, Dept.of Pharmacy, Tripura University. - Member Dr. Ashutosh Kumar, Assistant Professor, Dept. of Microbiology, Tripura University. - Member 10. Shri Harjeet Nath, Assistant Professor, Dept. of CPE, Tripura University. - Convener 11. Prof. Utpal Chandra De

(Dr. Deepak Sharma)

Registrar

To All Members of the Committee

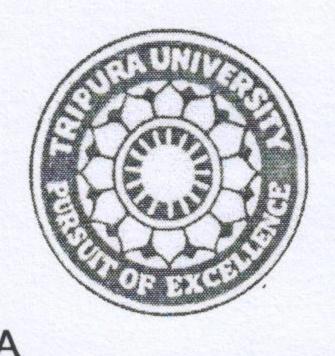
Copy for information to :-

- 1. The Dean, Faculty of Arts & Commerce/Science/Students' Welfare, Tripura University.
- 2. Finance Officer(I/C), Tripura University.
- 3. P.S. to Vice-Chancellor, Tripura University.

Dept. of Chemistry, Tripura University.

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No.F.TU/REG/Frm.Committees/8/2021

Date: 21/12/2022

# NOTIFICATION

In suppression of all earlier notification, this is for information of all concerned that the Hon'ble Vice-Chancellor, Tripura University has been pleased to reconstitute the "Institutional Animal Ethics Committee (IAEC)" with the following members:

1. Prof. Badal Kr. Datta, Dean, Faculty of Science, TU Biological Scientist

- Chairperson

2. Prof. Debasish Maiti, Department of Human Physiology, TU Scientist from different Biological Discipline

- Member

3. Dr. Ashwini Chauhan, Asstt. Prof., Department of Microbiology Scientist from different Biological Discipline

- Member

4. Dr. Saumen Kanti Pal Veterinarian, Veterinary College, Agartala, Tripura - Member

5. Dr. Shiv Shankar Singh, Asstt. Prof., Department of Zoology Scientist & In-charge of Animal House Facility

- Member Secretary

This is issued with the approval of the Competent Authority, Tripura University.

(Dr. Deepak Sharma) Registrar

To: All members

Copy for information and wide circulation to:

- 1. The Dean, Faculty of Science, Tripura University.
- 2. The Dean, Faculty of Arts & Commerce, Tripura University.
- 3. The Dean of Students' Welfare, Tripura University.
- 4. The Finance Officer, Tripura University.
- 5. All Heads/In-charge/Coordinators, Department of .......
- 6. All Officers/Branches/Section, Tripura University.....
- 7. Sri Suman Das, Sr. Technical Assistant, T.U. for uploading the Notification in T.U. website.
- 8. P.S. to the Hon'ble Vice Chancellor, Tripura University.

### त्रिपुराविश्वविद्यालय TRIPURA UNIVERSITY

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F. TU/REG/IQAC/AUDIT/07/2025

Date: 22nd Jan, 2025

#### NOTIFICATION

In suppression with earlier notification dated 21st Jan 2025, this is to inform all concern that the "Green, Energy and Environment Audit" for Tripura University with the following members will be held on 28th Jan 2025:

1. Prof B.K Datta

2. Dr Shiv Shankar Singh

Er. Krishna Das

4. Er. Rajesh Banik

5. Er. N Tripura

6. Dr Thiru Selvan

7. Prof. Nalin B. Dev Choudhury

Department of Electrical Engineering, National Institute of Technology, Silchar -Chairman

-Member

-Member

-Member

-Member

-Convenor

-External Expert

The committee of the internal members will continue to function as the committee for Green, Energy and Environment Audit related aspects round the year in the coming years till further notice.

> Shaon Ray Chaudhuu 22/1/2025 (Prof. S Ray Chaudhuri) Director, IOAC

To All Members:

Copy for information to: -

1. The Registrar, Tripura University

- 2. The Dean, Faculty of Science/Art & Commerce, Tripura University.

- 6. The Dean, Student Welfare, Tripura University.
- The Director (i/c), Directorate of Distance Education, Tripura University
- 8. P.S. to Hon'ble Vice-Chancellor, Tripura University

# त्रिपुरा विश्वविद्यालय

#### TRIPURA UNIVERSITY

(केन्द्रीय विश्वविद्यालय / A Central University) सूर्यमणिनगर, अगरतला / Suryamaninagar, Agartala त्रिपुरा(प.)/Tripura(W.), पिन/PIN – 799022, भारत/INDIA दूरभाष / Phone: (0381) 237 9003

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Mobile: 9436122176

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No.F.TU/REG/MISC/01/2023

Date: 31st July, 2024

#### **NOTIFICATION**

The Competent Authority has approved the Tripura University Ph.D. Regulations, 2024 (in accordance with the UGC [Minimum Standards & Procedure for Award of M.Phil./Ph.D. Degree] Regulations 2022) on the recommendation of the Academic Council of the University.

A copy of the Regulations is enclosed herewith.

(Dr. Deepak Sharma) Registrar

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Copy	w.

- 1. The Dean, Faculty of \_\_\_\_\_\_, Tripura University.
- 2. The Finance officer.
- 3. The Head/Head (i/c), Department of , Tripura University
- 4. All Officers of the University.
- 5. The Controller of Examinations (i/c), Tripura University
- 6. The System Analyst for uploading of Notification & Ph.D. Regulations 2024 on the University's Website
- 7. Rajbhasha Cell, Tripura University.
- 8 PS to VC for kind information of the Hon'ble Vice-Chancellor, Tripura University.

### TRIPURA UNIVERSITY

(A Central University)



Tripura University Ph.D. Regulations, 2024

(In accordance with the UGC [Minimum Standards & Procedure for Award of M.Phil./Ph.D. Degree] Regulations 2022)

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#### 1. Short title, Application and Commencements.

- 1.1. The degree of 'Doctor of Philosophy' shall be abbreviated as 'Ph.D.'
- 1.2. These regulations shall be called the "Tripura University (henceforth TRIPURA UNIVERSITY, Tripura) (Minimum Standard & Procedure for Award of Ph.D. Degree) Regulations 2024.
- 1.3. These shall be applicable to all the disciplines/subjects offered by the Tripura University.
- 1.4. These shall come into force from the date of notification issued by the TU, Tripura. All previous Ph.D. Regulations issued by the University will become null and void with the date of issuance of these regulations, unless otherwise notified.
- 1.5. Award of degrees to candidates registered for the Ph.D. Programme on or after July 11, 2009, till the date of Notification of these Regulations (i.e. UGC/TRIPURA UNIVERSITY Ph.D. regulation 2024) shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 and Tripura University Ph.D. Regulations, 2017 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations (i.e. UGC/Tripura University Ph.D. regulation 2017) or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016 and Tripura University Ph.D. Regulations, 2017.
- 1.6. Notwithstanding any provisions contained in these regulations, the University reserves its power to change/amend these regulations, if needed, at any time.

#### 2. Definitions.

- 2.1 In these Regulations, unless the context otherwise requires,
  - a) "Act" means the University Grants Commission Act, 1956 (3 of 1956);
  - b) "Adjunct Faculty" means a part-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution;
  - c) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
  - d) "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
  - e) "College" means an institution engaged in higher education and/or research, either established by a university as its constituent unit or is affiliated with it;
  - f) "Commission" means the University Grants Commission established under Section 4 of the UGC Act 1956;
  - g) "Course" means one of the specified units which go to comprise a Programme of study:
  - h) "Course Work" means courses of study prescribed by the School/Department/ Centre to be undertaken by a student registered for the Ph.D. Degree;

- i) "Degree" means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- j) "External examiner" means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar has registered for the Ph.D. programme;
- k) "Foreign Educational Institution" means- (i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- 1) "Grade Point" means a numerical weight allotted to each letter grade on a I0-point scale:
- m) "Guide/Research Supervisor" means an academician/researcher recognized by Higher Educational Institution to supervise the Ph.D. scholar for his/her research;
- n) "Higher Educational Institution" means a university or institution specified under clause 2 of Regulation I of these Regulations;
- o) "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines;
- p) "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- q) "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- r) "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own;
- s) "Programme" means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
- t) "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a Higher Educational Institution and programmes, to the general public (including to those seeking admission in such Higher Educational Institutions) by the Higher Educational Institutions;
- u) "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
- v) "University" means a Higher Educational Institution established or incorporated by or under a Central Act, a Provincial Act, or a State Act, and shall include any institution for higher education deemed to be a University under Section 3 of the Act.
- 2.2 Words and expressions used and not defined in these Regulations but defined in Act and not consistent with these Regulations shall have the meanings assigned to them in that Act.

#### 3. Advertisement, number of seats and commencement of admission

- 3.1. The University shall make a detailed advertisement, including essential qualification, number of seats available for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, number and specialization of available research supervisors, reservation of seats and last date for submission of the application form etc., for the benefit of the candidates, in at least one national newspaper & one in local newspaper, of which, at least one shall be in the regional language, as well as on the University website.
- 3.2. Based on the recommendations of concerned Departmental Research Committees

- (henceforth DRCs), the Academic Council (henceforth AC) of the University shall recommend the number of seats to be admitted and advertised in/for various departments of the University and RCs, annually.
- 3.3. Applicants have to make online application in the prescribed format available on the University website (www.tripurauniversity.ac.in).
- 3.4. Reservation of the seats for Schedule Castes (SC), Schedule Tribes (ST). Other Backward castes (OBC)-Non-creamy layer, Differently-abled/Divyang, Economically Weaker Section (EWS), and other categories of candidates shall be as per the Government of India Rules/Rules of University framed from time to time.
- 3.5. The number of seats reserved for SC/ST/OBC/EWS categories may be reduced proportionately if number of qualified candidates is less. The vacant seats reserved for SC/ST/OBC/EWS candidates, if any, shall be filled as per Government of India rules.
- 3.6. Each SC/ST/OBC/EWS candidate shall have to submit a copy of the Caste Certificate issued from the notified person/authority, mentioning that the candidate belongs to SC/ST/OBC (no-creamy layer) community/EWS, as per the Government of India format and rules clearly stating: (a) the name of candidate and his/her caste/tribe (b) which reserve category s/he belongs (c) District and the State or Union Territory of his/her ordinary residence and (d) the appropriate Government of India Schedule under which his/her caste/tribe is approved. The OBC certificate should clearly mention that the candidate does not belong to creamy layer category.

#### 4. Eligibility criteria for admission to the Ph.D. programme

- 4.1. The following are eligible to seek admission to the Ph.D. programme:
- 4.2. Candidates who have completed:
- 4.2.(i). A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
- 4.2.(ii). A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.

- 4.2.(iii). Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.
- 4.2.(iv). Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (noncreamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.

#### 5. Procedure for admission

- 5.1. The admission to the Ph.D. programme shall be based on the criteria notified by the TRIPURA UNIVERSITY, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.
- 5.2. Admission to the Ph.D. Programme shall be made using the following methods:
  - 5.2.(i). Students who have qualified for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/CEED/GPAT and similar National level tests, based on an interview.

or

5.2.(ii). Students who have Qualified the National Eligibility Test (NET) conducted by the University Grants Commission and the performance in the interview/vivavoce shall be considered.

 $\mathbf{or}$ 

- 5.2.(iii). Tripura University may also conduct Research Eligibility Test (RET), if required, for admission into Ph.D. Programme.
- 5.2.(iv). With respect to RET A minimum of 70% marks scored and 30% for the performance in the interview/viva- voce shall be considered.
- 5.2.(v). A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.
- 5.2.(vi). TRIPURA UNIVERSITY shall decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.

#### 5.2.(vii) The University shall:

- (a). Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates (also refer to clause 3.1);
- (b) Adhere to the National/State-level reservation policy, as applicable (also refer to clause 3.4 & 3.5).
- (c) TRIPURA UNIVERSITY shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/ school/ Centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

#### 6. Classification of the candidates

6.1. A candidate admitted to the Ph.D. Programme in a department/school/center of the University shall be classified under any one of the following categories:

#### 6.1.(i). Full-time Research Scholar

A candidate who has been admitted to the Ph.D. Programme based on the eligibility criteria mentioned in Clauses 4.2.1. - 4.2.4 shall be referred to as a full-time research scholar.

#### 6.1.(ii) Internal Full-time Research Scholar

A candidate who has been admitted to the Ph.D. Programme based on the eligibility criteria mentioned in Clauses 4.2.1. - 4.2.4. shall be referred to as an internal full-time research scholar.

- **a)** A regular teacher of the TRIPURA UNIVERSITY can be designated as internal candidate. She/He has to produce a No Objection Certificate from the employer. Such candidates will have to avail six-months study leave so as to complete the course work.
- **b)** A non-teaching regular employee of the TRIPURA UNIVERSITY can be designated as internal candidates. She/He has to produce a No Objection Certificate from the employer. Such candidates will have to avail six-months study leave so as to complete the course work. Such candidates shall have to pass RET for Ph.D. Programme or qualify under exempted category.

#### 6.1.(iii). External Full-time Research Scholar

A candidate working in a non-degree awarding institutions recognized as a center of research by the University through MoUs, and admitted to the Ph.D. Programme shall also be permitted to work as an External Full-time Research Scholar, who shall work for his/her Ph.D. in his/her parent organization duly complying with other relevant provisions of these regulations. Such candidates may complete their course work in their parent institutions, if it is at par with the curriculum prescribed by the TRIPURA UNIVERSITY, Tripura, otherwise, they have to seek six (06) month study-leave from their parent institutions to attend the course work Programme offered by the University. Nevertheless, all candidates admitted under this clause shall have to write course work examinations conducted only by the University.

6.1.(iii.a). In case external full-time research scholars attending their course work classes

at their respective institutions, they have to furnish an affidavit from the director or Vice-Chancellor of the institute/organization clearly stating that their course work is at par with the one offered by the University.

#### 6.1.(iv). Ph.D. through Part-time Mode-

- (iv)(a). Ph.D. Programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- (iv)(b). The candidate has to submit a "**No Objection Certificate**" from the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - 01) The candidate is permitted to pursue studies on a part-time basis.
  - 02) His/her official duties permit him/her to devote sufficient time for research.
  - 03) If required, he/she will be relieved from the duty to complete the course work.
- **6.2** Part time candidate shall have to take a minimum of six (06) months leave to complete the course work. The eligibility for admission to Ph.D. in part-time mode, in addition to clause 4 is given in Appendix-I.
- **6.3** For admission of international students in Ph.D. Programme the TRIPURA UNIVERSITY may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.
- **6.4** On receipt of admission letter, successful candidates shall pay the prescribed fees and complete other formalities pertaining to his/her admission within the stipulated time.
- **6.5** The Head of the Department/Coordinator of the School/ Centre shall send a complete list of the admitted candidates along with the necessary details to the Research cell/Ph.D. section with a copy to the same to the Dean of the Faculty within a week from the date of admission.
- 6.6 No regular Ph.D. scholar shall be allowed to take up any regular paid assignment (except registered under clause 6.1.2 & 6.1.4) during the period of research except Research Fellowships, Research Assistantships/externally funded research project assignments provided it is not detrimental to his/her research Programme, as determined by the concerned DRC.
- **6.7** A Ph.D. scholar shall not be permitted to join any other degree course. However, he/she may be permitted to join part-time Diploma or Certificate Course(s) by the concerned DRC on the recommendation of the RAC, provided it is not detrimental to his/her research Programme.

**6.8** All Ph.D. scholars, irrespective of discipline, shall be required to get trained in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

#### 7. Research Supervisor/co-supervisor & number of permissible Ph.D. Scholars:

The University shall prepare a list of eligible research supervisors/co-supervisors recommended by the DRCs and subsequently approved by the Research Degree Committee of the University (RDCU).

- 7.1. Eligibility for Research Supervisor /co-supervisor:
- 7.1.(i).Permanent/Regular faculty members working as Professor/Associate Professor in the TRIPURA UNIVERSITY (including RCs), with a Ph.D., and at least five research publications in UGC CARE list/peer-reviewed or refereed journals,
- 7.1.(ii). Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, Tripura University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 7.1.(iii). Permanent/Regular faculty members working as Assistant Professors in the TRIPURA UNIVERSITY with a Ph.D., and at least three research publications in UGC CARE listed journals /peer reviewed / refereed journals shall be recognized as a Research Supervisor in the University. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors.
- 7.1. (iv). Eligible Permanent/Regular faculty members can guide Ph.D. Scholars during their probation period also.
- 7.1.(v). Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- 7.1.(vi). The university shall endeavor to promote interdisciplinary and cutting edge research. In such research work, if required, a Co-Supervisor from outside the Department/School/ Centre/College/University may be appointed.
- 7.1.(vii).In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
  - 7.1.(viii).Faculty members with less than three (03) years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor

- 7.1 (ix). Both, internal and external co-supervisor/external supervisor shall be appointed on the request of RAC, and/or approval of the concerned DRC.
- 7.1.(x) No teacher shall be allowed to supervise/co-supervise his/her blood relatives.
- 7.1.(xi) Permanent/regular faculty members working as Assistant Professor/Associate Professor/Professor in a government college affiliated to Tripura University and who have been selected by Tripura Public Service Commission/ recognized College Service Commission and have published at least three research papers in case of Associate-professors and at least five research papers in case of Associate-professors/ Professors in UGC CARE listed journals /peer reviewed / refereed journals can become Supervisors/Co-Supervisors in their concerned subject/ allied subject / department in Tripura University. Such eligible faculty members of affiliated colleges who intend to become Supervisor/Co-supervisor must submit their application duly forwarded by the Director of Higher Education, Government of Tripura along with all necessary testimonials and copies of their published research papers to the Chairman of concerned DRC, Tripura University.

#### 7.2. Allocation of Research supervisor and appointment of new Supervisor:

- 7.2.(i). The allocation of Research Supervisor for a selected research scholar shall be decided by the concerned DRC depending on the maximum number of scholars per Research Supervisor as specified elsewhere in these regulations, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- 7.2.(ii) Keeping the candidate's research interest in view, the concerned DRC shall assign a supervisor, co-supervisor, and external co-supervisor (mandatory, in the case of an external full-time candidate), external supervisor, to supervise the research work of the candidate; shall also notify the Research Advisory Committee constituted as per the related provision(s) of these regulations, to assist the supervisor.
- 7.2.(iii) Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority on the recommendation of the concerned DRC.
- 7.2.(iv) After the allotment of supervisor/co-supervisor, the candidate shall submit an application through his/her RAC to the Head of the Department/Coordinator of the School/Centre informing the proposed topic of research. An external full-time candidate is required to submit the consent of the proposed external co-supervisor/supervisor duly approved by the DRC or equivalent body of that institution along with the above application.
- 7.2.(v) In case where the supervisor of the candidate is appointed in sister or allied departments (as specified in Appendix-II) and co-supervisor in other department of the University, provision for transfer of the candidate (only within a year from the date of registration) pursuing research under his/her supervision in the previous department shall be available provided the candidate opts for it and the DRC of the concerned department agreed to the proposed transfer. However, the

- research scholar, subsequent upon transfer, shall be governed by the rules of the department/faculty to which he/she is transferred.
- 7.2.(vi) If the supervisor of a candidate proceeds on leave/lien/deputation for a period of more than 12 months, she/he shall request the concerned DRC to appoint a cosupervisor for the student.
- 7.2.(vii) However, if a supervisor proceeds on a leave/lien/deputation for a period more than 12 months or for a period of less than 12 months, but later extends his/her leave/lien/deputation beyond 12 months, and there is no co-supervisor for the student, then, she/he shall cease to be the supervisor. The concern DRC will appoint a new supervisor for the student.
- 7.2.(viii) If the supervisor of a candidate expires or is terminated from the University service, she/he shall cease to be the supervisor. In such case the concerned DRC shall appoint a new supervisor.
- 7.2.(ix) In all the cases, where the existing supervisor of a candidate ceases to be the supervisor, the DRC shall appoint a new supervisor. Or, if the candidate is having a co-supervisor in other department of the University, the candidate may opt for his/her transfer to that department, and she/he may be allowed to do so, on mutual consent of both the DRCs.
- 7.2. (x). In case of any ambiguity chairperson of the concerned DRC shall act as supervisor till the appointment of new supervisor by the DRC. Such supervision shall be treated as temporary or transitory in nature. However, if there are not enough eligible teachers in the department, or any other cogent reasons, if the Chairperson of the DRC continue his/her supervisorial duties for more than a year, she/he shall *suo moto* be designated as supervisor of the student.
- 7.2.(xi) In case of serious complaint/allegation in writing by the research scholar against the supervisor involving sexual harassment/exploitation, such cases shall be dealt strictly as·· per the provision of UGC (prevention prohibition and redressal of sexual harassment of woman employees and students in HE) Regulations, 2015 and subsequent amendments to it. However, in such cases during the period of enquiry the concerned Head of the Department/Center/School shall act as interim supervisor. It shall be incumbent upon the University to complete such enquiry within six (06) of the date complaint was received. In case allegation stands validated the concerned DRC shall appoint a new supervisor for the student. Otherwise, the scholar shall revert to the original supervisor. Further, necessary action should be taken against such scholar including cancellation of his/her registration.
- 7.2. (xii)In case the supervisor proceeds on lien/deputation and wishes to transfer his/her student to the new institution, it is permissible only within one year from the date of registration (first fee payment date) of the candidate.
- 7.2. (xiii)In case the supervisor proceeds a transfer to other department in TRIPURA UNIVERSITY by reappointment, the scholar remains in the same department where the scholar registered. In such case, the concerned DRC shall appoint a new supervisor to the scholar and the previous supervisor remain as cosupervisor. No inter-departmental transfer of scholar shall be allowed.

#### 7.3. Number of research students under a research supervisor/co-supervisor

- 7.3.(i). A Research Supervisor/Co-supervisor who is a Professor, Associate Professor, and Assistant Professor, at any given point of time, can supervise maximally eight (08), six (06), and four (04) Ph.D. scholars, respectively.
- 7.3.(ii). The number of Ph.D. scholars working under a co-supervisor shall also be counted in his/her permissible quota of students.
- 7.3.(iii).In case of International students each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in the clause 7.3.1.

#### 7.4. Inter-disciplinary Research

- 7.4.(i). A candidate may be permitted or encouraged to do inter-disciplinary research. She/he may be permitted for admission/registration in a department/school/faculty other than that in which she/he has obtained his/her Master's degree, depending upon the nature of his/her research problem and subject to the recommendation of the concerned DRC.
- 7.4.(ii). Application for research in inter-disciplinary area shall be considered on the basis of proven ability and aptitude of the candidate for such kind of work.
- 7.4.(iii).To serve this purpose, the University shall develop a list of such teacher with expertise in interface subject as supervisor/co-supervisor.

#### 8. Duration of the programme

- 8.1. Ph.D. programme shall be for a minimum duration of three (03) years, including course work, and a maximum period of six (06) years from the date of admission to the Ph.D. programme.
- 8.2. A maximum of an additional two (02) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (08) years from the date of admission in the Ph.D. programme.
- 8.3. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (02) years; however, the total period for completion of a **Ph.D.** programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Programme.
- 8.4. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. Programme.
- 8.5. If a candidate fails to submit the thesis at the end of the stipulated period (03 year), the concerned DRC, may grant an extension of up to three years (03), one year at a time, for the submission of the thesis, on the request of the concerned Research Advisory Committee (RAC), such that the total period for the submission of the thesis counted from the date of his/her admission does not exceed six (06) years. However, the University shall not provide

- fellowship to such scholar for the extension period beyond whatever is decided in the Academic Council of the University.
- 8.6. After the expiry of six (06) years' period, the candidate has to re-register himself, for which the candidate shall apply giving the reasons due to which she/he could not submit the thesis. Such application shall be duly forwarded and recommended by the concerned RAC and DRC. Once re-registration has been accepted, the candidate may submit his/her thesis anytime within two (02) years.
- 8.7. The criteria of minimum submission period (03 years) shall not be applicable in re-registration cases.

#### 9. Course work

- 9.1. The course work is a prerequisite for Ph.D. Programme.
- 9.2. The course work shall be for a minimum period of one semester. All the enrolled Ph.D. candidates (henceforth referred to as Ph.D. scholar or, Scholar) shall have to qualify the course work as per the criteria prescribed by the concerned department/faculty/University.
- 9.3. All the scholars admitted to the Ph.D. Programme shall be required to complete the prescribed course work during the initial two semesters.
- 9.4. **In** case a **Ph.D.** scholar fails or not appeared in the examination due to cogent reason **in** the course work examination, she/he shall be given one more chance to clear the examination. However, if she/he fails again his/her admission shall stand cancelled.
  - 9.5. The credit assigned to the Ph.D. program shall be a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.I-I/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- 9.6. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC IO-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.
- 9.7. The details of the courses, course credits, passing criteria and other related matters decided by the University must be in conformity with the then applicable UGC Regulations.
- 9.8. All courses prescribed for Ph.D. shall be duly approved by the concerned Board of Studies/Faculty Board). Such courses may be from the same faculty/department where the scholar is enrolled or from other faculties depending upon the nature of research area
- 9.9. After completing the course work, the scholar shall appear in a comprehensive examination, the modality of conduct of which shall be decided by the concerned department/faculty or at the University level.

Only those scholars whose attendance are 75% or above during the course work period shall be allowed to appear in the examinations. The concerned department will verify the attendance. In case of external full-time research scholars, the Head of the concerned institutions shall provide a certified copy of attendance to the Head of the department where candidate is enrolled, who shall forward the same to the office of the Controller of Examinations, TRIPURA UNIVERSITY, Tripura.

9.10. All the enrolled scholars shall submit their first progress report to the Research/Ph.D. section through his/her RAC duly forwarded by the concerned DRC.

## 10. Research proposal Submission and Registration of candidates for the Ph.D. Programme:

- 10.1. Once a candidate deposits his/her first fee, she/he shall be deemed to be provisionally registered to the programme. The date of registration shall be the date of the submission of first admission fee.
- 10.2. Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (3) of Regulation 9 above, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- 10.3. Within a month of successfully completing the course work, a scholar shall have to submit synopsis/research plan proposal on the proposed research work including title of the research work to the DRC through RAC in prescribed format.
- 10.4. The DRC shall examine the research plan proposal of the candidate including a presentation by the candidate. The DRC shall invite one external subject expert, who is not in the service of this University for evaluation of "The Research Plan Proposal and Seminar".
- 10.5. The DRC shall submit a panel of at least three names of external experts not below the rank of university Professor for the approval of the Competent Authority. The approved name shall remain valid for one year from the date of its notification.
- 10.6. The DRC on its satisfaction to the research proposal shall approve the proposal and the topic of the research. If any correction/change/improvement is suggested by the DRC, the candidate shall have to incorporate the same and resubmit to the DRC, with an assurance from the supervisor that changes have been incorporated.
- 10.7. If the DRC is not satisfied with the quality of the research plan proposal, the candidate shall submit a fresh proposal and deliver the seminar within a time limit specified by the DRC (not exceeding one month in any case), and a fresh evaluation shall be done. But, if only the seminar is unsatisfactory, the

- candidate shall deliver only the seminar again within one month.
- 10.8. If a candidate fails to submit the research plan proposal within the time specified above his/her candidature shall stand cancelled. After the conclusion of Research Plan Proposal Seminar the scholar has to submit a synopsis on the approved topic though the RAC to the DRC.
- 10.9. The DRC shall forward its recommendation on the synopsis to the Research/Ph.D. section. The section shall forward the synopsis to the RDCU for approval.
- 10.10. The notification of RDCU approval must be communicated by the office of the Registrar of the University to the concerned department, supervisor and the scholar within a month of the date of receipt of the DRC minutes/recommendations (Research Plan Proposal approval minutes).

#### 11. Attendance Requirements

- 11.1. A Ph.D. scholar is required to sign on all working days of the University in an attendance register to be kept in the office of the concerned Head of the Department/Coordinator of the School/Centre, except when she/he is on duty/sanctioned leave approved by the DRC and/or the RDCU.
- 11.2. The attendance requirements and leave rules shall be applicable to the external full-time research scholars and she/he shall submit a statement on requisite attendance from the center where she/he is pursuing his/her research through his/her co-supervisor (except in case of Course Work), to the concerned Head of the Department.

#### 12. Residency Period

- 12.1. A full time Ph.D. Scholar shall be required to be compulsorily present in the University for a period of two years, which is known as the Residency Period (i.e., the duration she/he cannot take any regular paid assignment), in a single stretch except in case of maternity/childcare leaves.
- 12.2. For internal full-time research scholar described in clauses 6.1.(ii) and part-time Ph.D. scholars described in the clause 6.1.(iv) & 6.2. the residency period shall be the minimum period required for completing the course work (i.e., six months).

#### 13. Leave Rules

- 13.1. A Ph.D. scholar shall be eligible to avail a leave of 30 days in a calendar year. She/he shall not be entitled for any inter-semester breaks, winter and summer vacations. However, she/he is entitled for an additional leave of up to 10 days on medical grounds in a calendar year. The aforesaid leave provisions are cumulative.
- 13.2. Male/female scholars shall be eligible for paternity/maternity/childcare leaves

- as per University/UGC rules once during their entire tenure as research scholar.
- 13.3. The leave mentioned in the clause 13.1. shall be granted by the Head of the Department/Coordinator of the School/Centre on the recommendation of the supervisor/co-supervisor. However, the maternity and child care leaves mentioned in the clause 13.2 shall be approved the RDC on the recommendation of the concerned DRC.

#### 14. Duty or study leaves

- 14.1. If a Ph.D. scholar is willing to proceed for data collection/field trip for or less than two (02) weeks period, she/he may do so on the recommendation of the concerned RAC, duly intimated to the concerned Head of the department/
- 14.2. The DRC may recommend a Ph.D. scholar to pursue a part of his/her research work at a place outside the University (including abroad), for a period of 60 days. However, if such recommendations are for a period beyond 60 days, the recommendations of the DRC would be placed before the RDCU, which may permit a Ph.D. scholar to pursue a part of his/her research work at a place outside the University (including abroad) without exceeding the maximum time limit for the submission of the thesis laid down in these regulations.

#### 15. Financial assistance

- 15.1. Subject to the availability of funds from the UGC or its successor/other funding agencies, the Ph.D. scholars admitted as full time scholar would be entitled to the scholarship/fellowship (including contingency grant) of such amount as prescribed by the funding agencies (e.g., The University Research Fellowship that is being provided by the UGC, New Delhi) from time to time. The award of scholarship/fellowship shall be subject to the fulfillment of the conditions governing such award.
- 15.2. The maximum duration of the UGC-University Research Fellowship is of three years, subject to satisfactory research performance of the scholar, on semester basis, and submission of a semester-wise continuation certificate from the supervisor. One additional year of the above fellowship may be granted to the enrolled scholars subject to the production of extension letter from the concerned DRC and availability of funds from the UGC. In case of candidate having their own fellowship from different funding agencies, the terms and conditions of the funding agency shall be applicable on such scholars.

#### 16. Pre-submission of Thesis

- 16.1. Prior to the submission of the thesis, the scholar has to submit at least six half-yearly progress reports to the office of the Research Cell/Section.
- 16.2. The respective RAC and DRC shall monitor the academic/research progress of each scholar. For this purpose, the candidate shall submit a progress report on a prescribed proforma in triplicate, at the end of each semester to the RAC through his/her supervisor and co- supervisor, if any.

- 16.3. The scholar shall also present the progress of his/her research work through a seminar to the RAC, who shall evaluate the progress made by the candidate and submit its recommendations to the concerned DRC.
- 16.4. The Chairperson of the DRC shall forward the progress report with specific recommendations to the Research Cell/Section through the Dean of the faculty.
- 16.5. Progress of a scholar in any semester shall be deemed to be unsatisfactory if the she/he is absent for a period more than that specified in these regulations.
- 16.6. The **Ph.D.** scholar shall submit progress reports for each semester till the submission of the thesis. The last progress report of the scholar shall be submitted to Research Cell/ Section, in the manner prescribed above, three days prior to of the submission of thesis.
- 16.7. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the RAC/DRC of the department concerned, which shall also be open to all faculty members and other research scholars/students.
  - The supervisor shall organize a pre-submission seminar in consultation with the Head of the Department/Coordinator of the School/Centre, to appraise the teachers and other researchers of the Department/School/Centre of the scholar's work and receive suggestions for improvement of the research work. The RAC and the DRC shall assess the work of the candidate through this seminar. The seminar will be held in the department and shall be open for all. The suggestion so emerged may suitably be incorporated into the draft thesis.
- 16.8. If the RAC and/or the DRC are not satisfied with the quality of the work of the scholar, then she/he shall do further work and deliver a fresh seminar after a definite period specified by the DRC.
- 16.9. If the DRC is satisfied with the pre-submission seminar of the scholar, the Chairperson of the DRC shall forward the application of the scholar for submission of the thesis along with a certificate about the satisfactory completion of the pre- submission seminar and the exact title of the thesis to the Controller of Examinations with a copy of the same to the Dean of the faculty. Any extended residency period left beyond the date on which the thesis is forwarded for submission shall be deemed to have been terminated on the said date.
- 16.10. The exact title of the thesis shall be as approved by the DRC after the presubmission seminar.

#### 17. Change in the Research Topic:

17.1. No major change in research topic shall be accepted after registration (at the time of synopsis submission). However, if candidate and his/her supervisor are of the opinion that minor changes are necessary, they may report the case in the concerned DRC, upon approval, this should be notified to the office of Controller of Examinations. Such changes are to be permitted for only once, before or after pre-submission seminar by the scholar.

#### 18. Language of the thesis:

- 18.1. The language of the thesis shall either be in English or Hindi, except for the thesis submitted in the area of languages.
- 18.2. In case language of the thesis is other than English, the scholar has to submit one page summary of the work in English. (*This is applicable only to the Departments of various Languages/Linguistics/Music/Fine-arts*).

#### 19. Unfair means and plagiarism:

- 19.1. In case a Ph.D. scholar is found adopting or suspected of adopting unfair means or lifting of other's work and inserting it in his/her work without proper acknowledgement, credit and reference. The university may take such penal action as may be necessary to uphold the sanctity and the integrity of the research work and the credibility of the university.
- 19.2. The thesis must comply with the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018.
- 19.3. The University may take *Suo-moto* cognizance of all such unethical practices/cases. Such cases may also be reported by any person to the University through the Head of the Department/Coordinator of the School/Dean of the faculty.
- 19.4. For works involving animals, transgenic alien seeds, explants and hazards materials must comply with guidelines of animal ethics and bio-safety recommendations issued from time to time by the UGC and other concerned agencies.
- 19.5. The University shall have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree (as envisioned in the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018).
- 19.6. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism involved in his/her work and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.

#### **20.** Submission of Thesis:

- 20.1. The scholar is required to submit the thesis within six months from the date of his/her pre-submission seminar (without exceeding the maximum time limit for the submission of the thesis as laid down elsewhere in these regulations), failing which he/she shall be required to deliver a fresh pre-submission seminar.
- 20.2. One month prior to submission of the thesis the candidate has to submit six (06) copies of the summary/abstract of the thesis, duly forwarded by Head of

- the concerned Department/Coordinator of the School/Centers, describing the salient features of his/her work, to the office of Controller of Examinations/Ph D Section
- 20.3. The scholar is required to submit one (01) soft copy of the thesis on CD/Pen Drive in non-editable/Secure PDF format along with the hard copies of the same.
- 20.4. The scholar shall submit Six (06) hardbound printed copies of the thesis to the office of the Controller of Examinations, duly forwarded by the Head of the Department/Coordinator of the School/Center. The thesis shall contain the certificate by the supervisor in prescribed proforma, a declaration by the candidate in prescribed proforma, a course work completion certificate, presubmission seminar completion certificate, and a copyright transfer certificate in prescribed proforma, anti-plagiarism statement and other necessary certificates, as required from time to time.
- 20.5. The model for cover and title page of the thesis has been appended as Appendix- XIV and Annexure II to these regulations and shall be strictly followed.
- 20.6. The thesis must be a piece of original research work characterized by the discovery of facts or by fresh approach towards the interpretation of facts or theories on an applied work of great significance. In either case it shall evince the capacity of the scholar for critical examinations and independent judgment, and should also be satisfactory as far as literary presentation is concerned.
- 20.7. A thesis once submitted cannot be re-submitted except when the examiner recommends for the revision of the thesis.

#### 21. Evaluation and Assessment procedure:

- The supervisor through concerned DRC shall submit a panel of six (06) external experts in the concerned subject representing different parts of the country, of which, not more than two (02) should be from the single state, but not more than one from a single university, in prescribed format to the office of the Controller of Examinations. Wherever possible, one of the external examiners should be chosen from the outside India. The external examiner(s) should be academics with a good record of scholarly publications in the field. The Vice-Chancellor may however ask for more names of experts.
- 21.2. The external expert panel shall include the names of Professor (or equivalent), however, a maximum of one name not be below the rank of Associate Professor (or equivalent) may be allowed.
- 21.3. The Research supervisor/co-supervisor has to ensure that suggested examiners must not be in blood relation with the Ph.D. scholars as well as Research Supervisor.
- 21.4. The examiner panel must not contain any name from the institution of scholar's supervisor and co-supervisor.

- 21.5. In the case of a research scholar who has done any part of his/her work in another institution, the panel of examiners shall not include any person working in that institution.
- 21.6. In case the subject of research area is such that adequate numbers of experts are not available within the country; the panel may contain names of experts from foreign countries.
- 21.7. The concerned supervisor shall act as internal examiner.
- 21.8. The Vice-Chancellor would then select and appoint two (02) names from the panel as external examiners. The names shall be communicated to the office of the Controller of Examination.
- 21.9. The Controller of Examinations shall get in touch with each examiner with a copy of the summary/abstract to secure acceptance of the examinership. For this purpose, if e- mail address of the examiner is available, she/he shall be contacted through e-mail to get his/her consent at the earliest. If, however, no information is received from an examiner within two-week period from first communication to the examiner. After this a reminder will be given to examiner, if no response is received within two-weeks from the date of reminder, his/her appointment shall be cancelled and the Vice-Chancellor shall appoint a new examiner from the existing panel of examiners.
  - 21.10. The **Ph.D.** thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor (internal examiner) and at least two external examiners (selected by the Vice-Chancellor), who are not in employment of the University.
  - 21.11. Examiners shall have to send their reports in specified proforma.
  - 21.12. The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
  - 21.13. In case one examiner accepts and another suggests for major revision, concerned DRC shall inform student and his supervisor to incorporate necessary changes to the satisfaction of DRC. If the external examiner has requested to resend the thesis to him after revisions, the same shall be forwarded to the examiner.
  - 21.14. The University shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.
  - 21.15. The viva-voce examination, based among other things, on the critiques given

in the evaluation report, shall be conducted by the viva voce board. The vivavoce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Research Advisory Committee/ concerned Head of the department/Coordinator of the School/Centers/ faculty members/research scholars, students and other interested experts/researchers.

#### 22. Award of the Degree:

- 22.1. In general, the Ph.D. degree shall be awarded in the subject/department where the candidate is enrolled on the topic chosen and approved by the **DRC.**
- 22.2. Once successfully submitted, the office of the Controller of Examinations shall issue a submission certificate to the scholar.
- 22.3. The University shall complete the entire process of evaluating a Ph.D. thesis, including the declaration of viva-voce result, within a period of six months from the date of submission of the thesis.
- 22.4. The Ph.D. degree shall be awarded after the recommendation of the award of the degree by the Viva-voce Board and duly approved by the Vice-Chancellor.

#### 23. Issuing a Provisional certificate:

- 23.1. The actual degree shall be awarded in convocation convened by the University from time to time.
- 23.2. Prior to the actual award of the degree, the University shall issue a provisional certificate to this effect to the scholar that the Ph.D. is being awarded in accordance with the provisions of TRIPURA UNIVERSITY Ph.D. Regulations 2017/2024.

#### 24. Cancellation of Registration

The registration of a Ph.D. scholar shall be cancelled by the DRC in any one of the following eventualities:

- 24.1. If a scholar fails to submit satisfactory progress report through supervisor regularly for two years (i.e., four half-yearly progress report).
- 24.2. If a scholar is absent for a continuous period of four weeks without prior information/sanction of leave.
- 24.3. If a scholar fails to submit synopsis within stipulated time.
- 24.4. If a scholar fails to renew his/her registration m any semester subject to the provisions contained in these regulations.
- 24.5. If a scholar fails to submit the thesis within the maximum stipulated time as provided in these regulations.

- 24.6. If a scholar resigns from the Ph.D. programme and the resignation 1s duly recommended by his/her research supervisor.
- 24.7. If a scholar is found involved in an act of misconduct and/or indiscipline and the Competent Authority has recommended his/her termination.
- 24.8. If a scholar fails to deposit the fee within the prescribed time.

#### 25. Temporary withdrawal from the programme (De-registration and Re- registration)

- 25.1. A scholar admitted to the Ph.D. programme may be permitted by the RDCU, on recommendation of the DRC to temporarily withdraw from the programme on some specific reasons (termed as 'de-registration'), and later allowed to join back to complete the research (termed as 're-registration') and submit the thesis, without exceeding the maximum prescribed time limit for the thesis submission as described elsewhere in these regulations. This withdrawal may be granted for whole or part of the semesters. The relaxation shall be provided only once in entire tenure of Ph.D. research. The temporary withdrawal may be permitted on any one of the following reasons
  - 25.1.(i). If the candidate gets a professional employment, and has completed the residency period.
  - 25.1.(ii). If a fulltime sponsored candidate after fulfilling the minimum residency period requirement for the submission of the thesis joins back his/her parent organization.
- 25.2. Except in case of maternity leave, the above relaxation shall only be availed after completion of the minimum residency period.
- 25.3. During the period of withdrawal, the candidate has to pay fee, and submit progress reports timely.

#### 26. Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions.

#### 27. Research Committees

Subject to the general superintendence of the Academic Council, the following Committees shall deal with all matters related to the Ph.D. programme of the University in accordance with these regulations:

- 1) The Research Degree Committee of the University (RDCU)
- 2) The Departmental/School/Centre Research Committee (DRC)
- 3) The Research Advisory Committee (RAC)
- 27.1. The RDCU shall consist of the following (as per section 2 of the ordinance 46 of the University):

i)	Vice-Chancellor /Pro-Vice Chancellor (ex-officio)	Chairperson
ii)	Dean of the Faculty (ex-officio)	Member
iii)	Head of Departments of the University/Regional Campuses/ Centers not	Member
	exceeding five by rotation	
iv)	Professors other than dean of the faculty and Head of the Departments	Member
	not exceeding five by rotation	
v)	Four Associate Professors to be nominated by the Vice- Chancellor	Member
	representing different disciplines in the University/Regional Campuses/	
	Centers	
vi)	Four external experts to be nominated by the Vice-chancellor	Member
	representing different discipline in the University	
vii)	Registrar	Secretary
		(Ex-officio)

(Registrar shall convene the meetings of the RDCU, at least twice in a calendar year. The quorum of the RDCU shall be 1/3 of the total members. The tenure of the member in the office other than ex-officio member shall be for a period of three years.

#### 27.2. The **DRC** shall consist of the following:

i)	Head of the Department	Chairperson
ii)	All Professors in the Department	Member
iii)	One Associate Professor of the Department, according to seniority, by	Member
	rotation every three years	
iv)	One Assistant professor of the Department, according to seniority, by	Members
	rotation every three years	
v)	Supervisor and Co-Supervisor (if any) of the concerned Ph.D. scholar	Member (s)
vi)	One external expert not below the rank of Professor*	Member

In case there are three or less than three eligible teachers in the Department/School/Center, the DRC shall consist of the following:

i)	The Dean of the concerned faculty	Chairperson
ii)	All eligible teachers of the Department	Members
iii)	A senior teacher of a sister Department nominated by the Dean in	Member
	consultation with the Head of the Department	
iv)	One external expert not below the rank of Professor*	Member

<sup>\*</sup> Only at the time of synopsis presentation/research plan proposal seminar the nomination is valid for one (01) year.

The DRC shall appoint one of its members by rotation as Secretary or Convener.

27.3. The RAC shall consist of the following:

(1) There shall be a Research Advisory Committee for each Ph.D. scholar. The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities:

- a) To review the research proposal and finalize the topic of research.
- b) To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- c) To periodically review and assist in the progress of the research work of the Ph.D. scholar.
- (2) Each semester, a Ph.D. scholar shall appear before the RAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The RAC shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University. A copy of such recommendations shall also be provided to the Ph.D. scholar.
- (3) In case the progress of the Ph.D. scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the RAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. Programme.

#### Research Advisory Committee should comprise of:

i)	Internal Supervisor of the concerned Ph.D. Scholar	Convener
ii)	Co-supervisor/external supervisor (if any) of the concerned Ph.D. Scholar	Member
iii)	A nominee of the Chairman of DRC	Member
iv)	A member from the sister/allied subject/department on the	Member
	recommendation of the Supervisor.	

A teacher who is not eligible to guide **a** Ph.D. scholar cannot become a member of any of the research committees mentioned above.

The DRC shall not make any recommendation that is not in conformity with these regulations.

- **28. Difficulty:** Notwithstanding anything contained in these Regulations, any question, which is not covered by these Regulations, or any difficulty arising out of these Regulations, shall be dealt with by the Academic Council.
- **29. Repeal:** From the date when Ph.D. Regulations comes into force all previous regulations on the subject shall cease to have effect. Provided that this revocation shall not affect the previous regulations so revoked or anything done or suffered under any previous regulations so revoked or affects any right, privilege, obligation or liability acquired, arrived or incurred under any regulations so revoked.
- **30. Fee structure:** As notified by the University from time to time.

#### **Provisions for Part-Time Ph.D.**

#### 1. Eligibility for Part-time Research Scholars

- a. A permanent/regular teaching faculty of any Higher Education Institution (HEI), provided s/he justifies the need for a such degree and duly endorsed by the parent institution, clearly stating that the faculty shall be permitted for leaves as per need of the program.
- b. A permanent government servant, not below the rank of the group 'B' services (as defined by the DoPT), provided s/he justifies the need for such a degree and his/her application is duly endorsed by the parent institution/employer, clearly stating that the employee shall be permitted leave as per need of the program.
- c. Candidates from industries/Corporate sector:
  - i. The Industry/Corporate sector should have been duly approved by the concerned Regulatory Body e.g., FSSAI, ICAR, GMP, etc.
  - ii. The candidate should have completed a minimum of five years of permanent/regular service in the same organization, not below the rank of group 'B' government services.
  - iii. Justification for the need for such a degree in organizational/societal advancement.
  - iv. Till completion of the Ph.D., the candidate has to work in the same organization, if he/she leaves the organization during the program, his/her admission shall be cancelled.
  - v. Any IPR arising out of the work shall be the sole proprietary of the TRIPURA UNIVERSITY.
- **2.** The admission of Part-Time candidates shall be governed by the then-applicable UGC and TRIPURA UNIVERSITY regulations e.g., UGC PhD. Regulations 2022 and TRIPURA UNIVERSITY Ph.D. Regulations 2024. That is
  - a. Admission to such candidates shall be only through the National Entrance Test (NET) as specified in the regulations or any test prescribed/conducted by Tripura University.
  - b. It is mandatory for such candidates to avail a minimum of six months' leave to complete the requisite coursework
  - c. She/he shall not be entitled to any fellowship/scholarship from the University.
- **3.** In addition to the above-mentioned documents, the candidate has to submit a "No Objection Certificate" from the Appropriate Authority of her/his parent organization, clearly stating that:
  - a. The candidate is permitted to pursue studies on a part-time basis.
  - b. His/her official duties permit him/her to devote sufficient time to research.
  - c. If required, he/she shall be permitted leave and be relieved from the duties as and when asked for.

## त्रिपुरा विश्वविद्यालय TRIPURA UNIVERSITY

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